Homotopy Type Theory 2: Electric Boogaloo

Will Barnett

26 April 2024

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I understand the basic concepts of HoTT. I do not actually understand homotopy theory (a different subject).

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Examples

Set theories (ZFC, NBG, ETCS); type theories (PM, MLTT, HoTT).

In set theory, all mathematical objects are built out of sets.

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"Let n be a natural number." $\Rightarrow$  $n : \mathbb{N}$ "Let  $(a_n)_{n=0}^{\infty}$  be a sequence of reals." $\Rightarrow$  $a : \mathbb{N} \to \mathbb{R}$ "Let G be a group." $\Rightarrow$ G : Group

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"Let <i>G</i> be a group."	$\Rightarrow$	G : Group
"Suppose that <i>n</i> is even."	$\Rightarrow$	<i>h</i> : is-even <i>n</i>

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But what if *G* is actually a ring? **Bad question!** The typing "relation" isn't a proposition. A mathematical object is *always* associated with its type, by its very nature.

## Dependent Function Types

Given types *A*, *B*, we can form the function type  $A \rightarrow B$ .

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 $\lambda n.n + 69 : \mathbb{N} \to \mathbb{N}$   $((n \mapsto n + 420) : \mathbb{N} \to \mathbb{N}).$ 

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This can be generalized to allow the type of the codomain to *depend* on an element of the domain. For example, the type of "identity matrix" (with entries from  $\mathbb{R}$ ) is

$$I: (n:\mathbb{N}) \to M_{n \times n}(\mathbb{R}) \qquad \Big(I:\prod_{n:\mathbb{N}} M_{n \times n}(\mathbb{R})\Big).$$

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This yields such believable results as  $I_3$ :  $M_{3\times 3}(\mathbb{R})$ .
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This can be generalized to a dependent pair type. For example, to represent arbitrary tuples of rational numbers:

$$\left(3, \left(\frac{1}{69}, -\frac{1}{420}, 666\right)\right) : (n : \mathbb{N}) \times \mathbb{Q}^n \qquad \left((0, ()) : \sum_{n : \mathbb{N}} \mathbb{Q}^n\right).$$

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It is a bad idea to set up a type theory where Type : Type, due to the type-theoretic version of Russel's paradox (Girard's paradox).

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Disjunction and existential quantification are best discussed after *propositional truncation* has been introduced.

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The identity type is also called the path type; the homotopical intuition is that the terms of  $a =_A b$  are like paths from *a* to *b* in the space *A*.

## Using Equality

Functions out of the identity type can be defined by *path induction* (briefly explained later). Thusly, equality can be proved to be symmetric and transitive: for any type *A*,

sym :  $(a, b : A) \rightarrow a = b \rightarrow b = a$ , trans :  $(a, b, c : A) \rightarrow a = b \rightarrow b = c \rightarrow a = c$ .

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These proofs of symmetry and transitivity are not just mere properties, but themselves have structure (in this case, that of an  $\infty$ -groupoid, a higher-categorical version of a group). Some other useful operations: for any types *A*, *B*,

ap : 
$$(f : A \to B) \to (x, y : A) \to x = y \to f(x) = f(y)$$
,  
transport :  $A = B \to A \to B$ .

## Indiscernability of Identicals

Equal things should satisfy the same properties. This can be proved using the theorems/operations on the previous slide.

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One may also define the *identity of indiscernables*:

indis-iden :  $((P : A \to \text{Type}) \to P(x) \to P(y)) \to x = y$ indis-iden  $h \coloneqq h (z \mapsto x = z)$  refl(x)

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Every natural number has a "canonical form", like  $2 \equiv S(S(0))$ .

Despite the high quotation mark-density, this can be made precise; the validity of similar inductive definitions can be checked by a mechanical process.

## How to use Inductive Types

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Defining a function on the natural numbers by recursion, and proving a property of natural numbers by induction, are special cases of this "elimination principle". Functions out of inductive types are defined by their behaviour on constructors.

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Defining a function on the natural numbers by recursion, and proving a property of natural numbers by induction, are special cases of this "elimination principle".

Explicitly, this elimination principle can be expressed in type theory itself as:

$$\mathbb{N}\text{-elim} : (P : \mathbb{N} \to \text{Type}) \to P(0) \to ((n : \mathbb{N}) \to P(n) \to P(S(n)))$$
$$\to (n : \mathbb{N}) \to P(n).$$

#### **Elimination Examples**

Here is a definition by *pattern matching* (equivalent to eliminator use) of + on  $\mathbb{N}$ :

 $+ : \mathbb{N} \to \mathbb{N} \to \mathbb{N};$ a + 0 := a;a + S(b) := S(a + b).

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 $+ : \mathbb{N} \to \mathbb{N} \to \mathbb{N};$ a + 0 := a;a + S(b) := S(a + b).

Here is an inductive proof that + is associative:

 $\begin{aligned} +-\text{is-assoc} &: (a, b, c : \mathbb{N}) \to a + (b + c) = (a + b) + c; \\ +-\text{is-assoc} \ a \ b \ 0 &\coloneqq \text{refl}(a + b); \\ +-\text{is-assoc} \ a \ b \ S(c) &\coloneqq \text{ap}_{s}(+\text{-is-assoc} \ a \ b \ c). \end{aligned}$ 

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## Other Inductive Types

The empty and unit types (logical false and true):

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In HoTT, *higher* inductive types can be defined, which can include path constructors as well as the point constructors that we have seen so far.

## Path Induction

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Its corresponding induction principle is known as *path induction*: given a type *A*, there is a canonical term

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There is also a version of this principle, which holds an endpoint fixed, *based path induction*: given a type A and a term a : A, there is a canonical term

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Rest assured that the identity type of a contractible type is itself contractible.

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It can be proven (with some effort) that  $\mathbb{N}$  is a set (this follows by Hedberg's Theorem, or from the "encode-decode" method).

## Equivalence

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This is actually different from the naïve translation of "is bijective" (since there might be different *proofs* that f(x) = y). We can define the type of all equivalences between two types:

$$A \simeq B \coloneqq (f : A \to B) \times \text{is-equiv}(f),$$

which is a "nice" definition since is equiv(f) is always a proposition.

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Isomorphic groups are equal (don't confuse *groups* with *subgroups*).

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#### Why use univalence?

It's already used informally, for convenience. If G and H are isomorphic groups, and G is squanchy, then you can bet that H is squanchy. HoTT provides a rigorous justification for this.

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By characterizing the identity type, univalence lets us prove that Set :=  $(A : Type) \times is\text{-set}(A)$  is a 3-type (i.e., its identity types are sets). Given sets *A*, *B*, the type A = B is equivalent (and hence equal!) to the *set* of bijections from *A* to *B*.
# Higher Inductive Types

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Intuitively, to define a function out of ||A||, you may use a term of *A*, provided that the value you are defining does not depend on which term you select (for example, the codomain type could be a proposition).

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The axiom of choice: for any set *A* and any family of sets  $B : A \rightarrow$  Type, we have

$$((x : A) \to ||B(x)||) \to ||(x : A) \to B(x)||.$$

**Quotient Types** 

Given a relation  $R : A \to A \to Type$ , you can form the quotient of *A* by *R* as a HIT:

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Turns mathematics into a fun computer game.

# Cubical Type Theory

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This is an extension of HoTT. Talk to me about this later...

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Introduction to HoTT: https://arxiv.org/abs/2212.11082 The HoTT Book: https://homotopytypetheory.org/book/ The 1Lab: https://1lab.dev/ The HoTT Game: https://thehottgameguide.readthedocs.io/en/ latest/index.html